SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

1. Name and Addre	1 0	erson [*]	2. Issuer Name and Ticker or Trading Symbol HUBBELL INC [HUBB]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
NORD DAV	<u>ID G</u>			X	Director	10% Owner			
			[- x	Officer (give title	Other (specify below)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	,			
C/O HUBBELL INCORPORATED			05/03/2019		Chairman, President & CEO				
40 WATERVIEW DRIVE									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	vidual or Joint/Group Filir	g (Check Applicable			
(Street)				Line)					
SHELTON	СТ	06484		X	Form filed by One Rep	porting Person			
					Form filed by More that Person	an One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	05/03/2019		A		15,619(1)	A	\$0	144,055	D			
Common Stock	05/03/2019		F		7,238 ⁽²⁾	D	\$129.97	136,817	D			
Common Stock	05/03/2019		М		27,910	A	\$76.015	164,727	D			
Common Stock	05/03/2019		F		21,691 ⁽³⁾	D	\$130.01	143,036	D			
Common Stock	05/03/2019		М		23,784	A	\$83.725	166,820	D			
Common Stock	05/03/2019		F		19,241 ⁽³⁾	D	\$130.01	147,579	D			
Common Stock	05/03/2019		S		10,762	D	\$ 129.877 ⁽⁴⁾	136,817	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Expiration		Expiration Da	Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Appreciation Right	\$76.015	05/03/2019		М			27,910	(5)	06/06/2022	Common Stock	27,910	\$0	0	D	
Stock Appreciation Right	\$83.725	05/03/2019		М			23,784	(6)	12/04/2022	Common Stock	23,784	\$0	23,785	D	

Explanation of Responses:

1. Shares of the Company's Common Stock acquired upon the vesting of a performance share award granted on December 8, 2015, which vested at 160% of the target amount of the award based upon the Company's Relative Sales Growth as compared to the other companies in the S&P 900 Index measured over a three year period.

2. Shares withheld for payment of taxes upon vesting of performance shares.

3. The shares withheld by the Issuer were calculated on the spread between the price of the SAR and the market price on the date the SAR was exercised. The payment of withholding taxes for the SAR that was exercised on this date was also included in this number.

4. The transaction was executed in multiple trades at prices ranging from \$129.41 to \$130.23. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide, upon request, to the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each separate price for all transactions reported on this Form 4.

5. The stock appreciation right vests and becomes exercisable in three equal annual installments beginning on June 6, 2013.

6. The stock appreciation right vests and becomes exercisable in three equal annual installments beginning on December 4, 2013.

Remarks:

Katherine A. Lane, Attorney-in-05/07/2019

Date

<u>fact for David G. Nord</u> ** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.